

**PATENT APPLICATION TRANSMITTAL LETTER  
(SMALL ENTITY)**

Docket No.  
F-146A

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**

Transmitted herewith for filing under 35 U.S.C. 111 and 37 CFR 1.53 is the patent application of

**James D. Ralph and Steven Tatar**

**For: A Polyaxial Pedicle Screw Having A Threaded And Tapered Compression Locking Mechanism**

Enclosed are:

- ☒ Certificate of Mailing with Express Mailing Label: *EI31927798 US*
- ☒ 9 informal sheets of drawings
- ☒ signed Declaration and Power of Attorney
- ☒ signed Verified Statement to Establish Small Entity Status Under 37 CFR 1.9 and 1.27
- ☒ Assignment and Recordation cover sheet

**Claims as Filed**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	11	20	0 X	\$11.00	= \$0.00
Indep. Claims	2	3	0 X	\$40.00	= \$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					= \$0.00

**Basic Fee \$385.00**

**Total Filing Fee \$385.00**

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 06-0245 as described below. A duplicate copy of this sheet is enclosed.
  - ☒ Charge the amount of \$385.00 as filing fee.
  - ☒ Credit any over payment.
  - ☒ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.
  - ☐ Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance pursuant to 37 CFR 1.311(b).

Dated: January 2, 1998

  
**Joseph P. Errico, Esq.**

TO THE ASSISTANT COMMISSIONER FOR PATENTS

I hereby certify that this correspondence is being deposited on January 2, 1998 with the United States Postal Service's "Express Mail Post Office to Addressee" service and is addressed to Assistant Commissioner for Patents, Box New Patent Applications, Washington, D.C. 20231.

documents included:

- ☒ Specification and claims
- ☒ 9 informal sheets of drawings
- ☒ signed Declaration and Power of Attorney
- ☒ signed Verified Statement to Establish Small Entity Status Under 37 CFR 1.9 and 1.27
- ☒ Assignment and Recordation cover sheet

signed: 

Joseph P. Errico, Esq.  
Reg. No. 38,131

dated: 1/2/98

January 2, 1998